



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/699,228	10/28/2000	Suhail Nanji	004906.P008

Daniel M DeVos
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard
7th Floor
Los Angeles, CA 90025



FORMALITIES LETTER



OC000000005647270

Date Mailed: 01/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/06/2001 RZEMDIE 00000044 09699228
01 FC:105
130.00 OP

Sector \$

Attorney's Docket No.: 4906P008

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

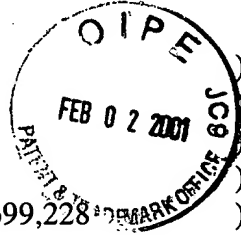
In re Application of:

Suhail Nanji, et al.

Application No.: 09/699,228

Filed: October 27, 2000

For: Tunnel Interworking



Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATED GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed January 1, 2001 please find enclosed:

1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application.

2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16 (e).


3) a copy of the Notice to File Missing Parts of Application;

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/30/01



Daniel M. DeVos
Reg. No. 37,813

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 1-30-01
Date of Deposit

Jane Wolfe
Name of Person Mailing Correspondence

Jane Wolfe
Signature

1-30-01
Date



FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on

1-30-01
Date of Deposit

Jane Wolfe
Name of Person Mailing Correspondence

Jane Wolfe
Signature

1-30-01
Date

Serial/Patent No.: 09/699,228

Filing/Issue Date: October 27, 2000

Client: Redback Networks, Inc.

Title: TUNNEL INTERWORKING

BSTZ File No.: 4906.P008

Atty/Secty Initials: DMD/jw/lr

Date Mailed: 1/30/01

Docket Due Date: ***

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | | |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input type="checkbox"/> Express Mail No.: _____ | <input checked="" type="checkbox"/> Check No. <u>40516</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> _____ Month(s) Extension of Time | Amt: <u>\$40.00</u> |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract) | <input type="checkbox"/> Information Disclosure Statement & PTO 1449 (____ pgs.) | <input checked="" type="checkbox"/> Check No. <u>40518</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.) | <input type="checkbox"/> Issue Fee Transmittal | Amt: <u>\$130.00</u> |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.) | <input type="checkbox"/> Notice of Appeal | |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.) | <input type="checkbox"/> Petition for Extension of Time | |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.) | <input type="checkbox"/> Petition for _____ | |
| <input type="checkbox"/> Application - Design (____ pgs.) | <input checked="" type="checkbox"/> Postcard | |
| <input type="checkbox"/> Application - PCT (____ pgs.) | <input type="checkbox"/> Power of Attorney (____ pgs.) | |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Preliminary Amendment (____ pgs.) | |
| <input checked="" type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Reply Brief (____ pgs.) | |
| <input checked="" type="checkbox"/> Certificate of Mailing | <input checked="" type="checkbox"/> Response to Notice of Missing Parts | |
| <input checked="" type="checkbox"/> Declaration & POA (<u>4</u> pgs.) | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business | |
| <input type="checkbox"/> Electronic Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate | |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures | <input type="checkbox"/> Fee Transmittal, in duplicate | |

Other: Request to Correct an Error in the Filing Receipt, a copy of the Express Mail Receipt, a copy of the official Filing Receipt; Copy of Notice to File Missing Parts (Filing date Granted)

Attorney Docket No.: 4906.P007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Suhail Nanji, et al.

Application No.: 09/699,228

Filed: October 27, 2000

For: TUNNEL INTERWORKING



Examiner: Not Yet Assigned

Art Group: 2122

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

For the above-referenced patent application, the filing date listed on the official Filing Receipt is :

10/28/2000

The correct date is :

10/27/2000

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on

1-30-01
Date of Deposit

Jane Wolfe
Name of Person Mailing Correspondence

Jane Wolfe
Signature

1-30-01
Date


Please have the Filing Receipt changed to reflect the correct filing date. Enclosed is a copy of the incorrect Filing Receipt with the change noted thereon.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: _____

1/30/01



Daniel M. DeVos
Reg. No. 37,813

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8300



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/699,228	10/28/2000	2122	1272	004906.P008	6	29	8

Daniel M DeVos
Blakely Sokoloff Taylor & Zafman LLP
12400 Wilshire Boulevard
7th Floor
Los Angeles, CA 90025

RECEIVED
JAN 08 2001

FILING RECEIPT



OC000000005647269

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 01/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Suhail Nanji, San Jose, CA ;
William Palter, Santa Cruz, CA ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/30/2000

Title

Tunnel interworking

Preliminary Class

706

ENTERED
JAN 08 2001
STATUS DB-LA

Data entry by : BRITTON, PAULA

Team : OIPE

Date: 01/01/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



DEPOSIT CERTIFICATE

Attorney Docket No.: 004906.P008


Return Deposit Certificate to: Jane Wolfe
(Name)

"Express Mail" Label Number: EL627464445US

Date of Deposit: October 27, 2000

I hereby certify that this package is being deposited by the undersigned with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this package has been addressed to (put check or X on the appropriate line):

- ☒ Assistant Commissioner for Patents
Washington, D.C. 20231
- ☐ Commissioner of Patents and Trademarks
Washington, D. C. 20231
- ☐ Assistant Commissioner for Trademarks
2900 Crystal Drive
Arlington, Virginia 22202-3513


(Typed or Printed Name of Person Depositing Package)

Loan Tran
(Signature of Person Depositing Package)

10/27/00
(Date Signed)



**POST OFFICE
TO ADDRESSEE**



EL627464445US

Customer Copy
Label 11-F July 1997

ORIGIN (POSTAL USE ONLY)		
PO ZIP Code 95106	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In 10/27/00	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$ 15.75
Time In 8:24 AM	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight 0.84 lbs	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials SK	Total Postage & Fees \$ 15.75

**SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE**



CUSTOMER USE ONLY	
METHOD OF PAYMENT: Express Mail Corporate Acct. No.	Federal Agency Acct. No. or Postal Service Acct. No.

TO: PLEASE PRINT	
NAME	PHONE

FROM: PLEASE PRINT
BLAKELY, SOKOLOFF,
TAYLOR & ZAFMAN, LLP.
1279 OAKMEAD PKWY
SUNNYVALE CA 94086-4040
PHONE 408 720 8598

TO: PLEASE PRINT
Box Patent
ASSISTANT COMMISSIONER FOR
PATENTS
WASHINGTON DC 20231-0001

PRESS HARD. You are making 3 copies.
FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.gov

